

Appendix 1: 2023 Audit Work Plan Status Update

Engagements	Scope of work	Plan Status
Data Privacy and Security	Assessed the effectiveness of IT management controls that ensure the privacy, confidentiality, and integrity of data in keeping with City policies and external requirements. This audit scope included data-at-rest and data-in-transit managed by the City and key vendors.	Complete Feb 6, 2024 Audit Committee
Cyber Risk Audit	Provided an independent assessment of whether the City of Brampton's Information Technology (IT) systems and assets are adequately protected from external and internal cyber threats. The engagement focused on testing cyber security controls to ensure their functionality and effectiveness.	Complete Nov 7, 2023 Audit Committee
Bovaird Barn	Audited matters related to the acquisition and relocation of a historic agricultural building, the Robinson barn, from Caledon to the Historic Bovaird House site in Brampton. This was added to the Internal Audit Work Plan through Committee of Council resolution CW420-2022.	Complete Nov 7, 2023 Audit Committee
Asset Management – Public Works	This audit reviewed processes in place to procure, store, monitor, dispose and safeguard small movable machinery, equipment, tools used in City operations.	Complete Feb 6, 2024 Audit Committee
By-Law Enforcement – Non-Parking	This audit will review the processes and practices related to by-law enforcement activities. Audit staff will focus on specific by-laws and related enforcement activities.	On Hold Deferred to 2024

Engagements	Scope of work	Plan Status
Development Fees and Charges	The audit reviewed the adequacy of controls around the development fee process and ensure fees collected are complete, accurate and timely. It also assessed compliance with relevant By-laws, policies and legislation including the Development Charges Act (1997).	Complete Jun 12, 2023 Audit Committee
Business and Property Tax	The audit reviewed property tax processes, compliance with applicable policies, procedures, and the internal control framework to verify the effectiveness and efficiency of the property tax billing, collection, and refund process.	Complete Nov 7, 2023 Audit Committee
Fleet Maintenance (Non-Transit)	The audit reviewed controls in place around fleet maintenance operations, including preventative maintenance, inspections and repairs. It aimed to determine the efficiency and effectiveness of current vehicle maintenance practices.	Complete Feb 6, 2024 Audit Committee
Limited Tendering	This audit was completed at the request of Council Resolution CW316-2023 "That Internal Audit be requested to review the limited tendering activities, in accordance with the Purchasing By-law, as set out in this report, and report back to Council through the Audit Committee." Limited tendering procurement activities from Q2, 2023 were reviewed for compliance to the Purchasing By-law.	Complete Feb 6, 2024 Audit Committee