

**Management Action Plan Status Details as of September 30, 2020- Closed/In Camera Audit Reports**

Legend			
In progress		Completed	↑
Completed		In progress- deferred/delayed	↓
Deferred		In progress- on time	→
In progress- delayed			
Expected completion			

Please refer to appendix 2 of the Cyber Security Assessment report for a detailed list of the assessment's findings.

Audit and Finding/Issue	Q3 2020	Q4 2020	2021
<b>Corporate Security</b> Physical Access Controls (employee access)			<b>x</b>
<b>Contracted Cleaning</b> Inadequate Management Oversight		<b>x</b>	<b>x</b>
<b>Contracted Cleaning</b> Contract Terms & Conditions		→	
<b>Data Centre- Transit</b> Cooling Measures		<b>x</b>	<b>x</b>
<b>HR Benefits (Phase 1)</b> Validation of Practitioners for STD		→	
<b>HR Benefits (Phase 1)</b> Validation of Practitioners for STD		→	
<b>Asset Management</b> Ineffective automated asset discovery and management tool			<b>x</b>
<b>Asset Management</b> Key person dependency risk		→	
<b>Asset Management</b> Access to the staging and storage area by unauthorized individuals		<b>x</b>	<b>x</b>
<b>Asset Management</b> Lack of oversight over electronic card access and physical door keys			<b>x</b>
<b>Segregation of Duties</b>		<b>x</b>	<b>x</b>

APPENDIX 3

Inconsistent user access and identity management processes			
<b>Segregation of Duties</b> Overreliance on Active Directory to prevent terminated users from accessing applications	●	x	x
<b>Segregation of Duties</b> Employees suspended in April on a temporary basis still retained their access to sub-systems in addition to only network and email	●	○	x