



























Management Action Plan Status Details as of September 30, 2020- Closed/In Camera Audit Reports

Legend			
In progress		Completed	↑
Completed		In progress- deferred/delayed	↓
Deferred		In progress- on time	→
In progress- delayed			
Expected completion			

Please refer to appendix 2 of the Cyber Security Assessment report for a detailed list of the assessment's findings.

Audit and Finding/Issue	Q3 2020	Q4 2020	2021
Corporate Security Physical Access Controls (employee access)	 ↓		x
Contracted Cleaning Inadequate Management Oversight		x	x
Contracted Cleaning Contract Terms & Conditions	 →	→	
Data Centre- Transit Cooling Measures		x	x
HR Benefits (Phase 1) Validation of Practitioners for STD	 ↓	→	
HR Benefits (Phase 1) Validation of Practitioners for STD	 ↓	→	
Asset Management Ineffective automated asset discovery and management tool	 →		x
Asset Management Key person dependency risk	 →	→	
Asset Management Access to the staging and storage area by unauthorized individuals		x	x
Asset Management Lack of oversight over electronic card access and physical door keys	 →		x
Segregation of Duties		x	x

APPENDIX 3

Inconsistent user access and identity management processes			
Segregation of Duties Overreliance on Active Directory to prevent terminated users from accessing applications		x	x
Segregation of Duties Employees suspended in April on a temporary basis still retained their access to sub-systems in addition to only network and email			x