



Tuesday, May 4, 2021

2. Approval of Agenda

AU009-2021

That the agenda for the Audit Committee Meeting of May 4, 2021 be approved as published and circulated.

Carried

5. Presentations\Delegations

5.1/7.1

AU010-2021

1. That the delegation from Kevin Travers, Lead Audit Engagement Partner, KPMG LLP, to the Audit Committee Meeting of May 4, 2021, re: **2020 Audited Consolidated Financial Statements for the City of Brampton**, be received; and
2. That the report titled: **2020 Audited Consolidated Financial Statements for the City of Brampton**, to the Audit Committee Meeting of May 4, 2021, be received; and
3. That the 2020 Audited Consolidated Financial Statements for the City of Brampton, be approved.

Carried

6. **Reports - Internal Audit**

6.1 **AU011-2021**

1. That the report titled: **Annual Report- 2020**, to the Audit Committee Meeting of May 4, 2021, be received; and
2. That staff be directed to report to the Audit Committee on the process for review, investigation and reporting of complaints submitted to the Corporate Fraud Prevention Hotline;
3. That a draft process with enhanced transparency for the Audit Committee's awareness and consideration be provided; and
4. That the 2020 summary of findings report be provided to the Audit Committee at its next meeting, and the associated lawyer be invited to delegate.

A recorded vote was requested and the motion carried unanimously, as follows:

Yea (10): Regional Councillor Santos, City Councillor Whillans, Regional Councillor Palleschi, Regional Councillor Medeiros, City Councillor Bowman, City Councillor Singh, Regional Councillor Dhillon, Citizen Member Ali, Citizen Member Jain and Citizen Member Zaman

Carried (10 to 0)

6.2 **AU012-2021**

That the report titled: **Corporate Fraud Prevention Hotline Update - Q1 2021**, to the Audit Committee Meeting of May 4, 2021, be received.

Carried

6.3 **AU013-2021**

That the report titled: **Status of Management Action Plans (MAP) – March 31, 2021**, to the Audit Committee Meeting of May 4, 2021, be received.

Carried

6.4 **AU014-2021**

1. That the report titled: **Whistleblower Information Report**, to the Audit Committee Meeting of May 4, 2021, be received; and
2. That staff be directed to develop a draft stand-alone Whistleblower policy.

A recorded vote was requested and the motion carried unanimously, as follows:

Yea (10): Regional Councillor Santos, City Councillor Whillans, Regional Councillor Palleschi, Regional Councillor Medeiros, City Councillor Bowman, City Councillor Singh, Regional Councillor Dhillon, Citizen Member Ali, Citizen Member Jain and Citizen Member Zaman

Carried (10 to 0)

6.5 **AU015-2021**

That the report titled: **HR Employee Benefits Audit (Phase 2)**, to the Audit Committee Meeting of May 4, 2021 be received.

Carried

8. Other/New Business

8.1 AU016-2021

That the report titled: **Analysis of Adopting an Auditor General Model**, to the Audit Committee Meeting of May 4, 2021, be received.

Carried

12. Adjournment

AU017-2021

That the Audit Committee do now adjourn to meet again on Wednesday, September 28, 2021 at 9:30 a.m. or at the call of the Chair.

Carried