

**Date:** 2022-04-22

**Subject:** **2022 Internal Audit Work Plan Status Update**

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**Report Number:** CAO's Office-2022-446

**Recommendations:**

1. That the report titled: **2022 Internal Audit Work Plan Status Update**, to the Audit Committee Meeting of May 17, 2022, be received.

**Overview:**

- Provide an update regarding the Internal Audit Work Plan and progress against the plan, as set out in **Appendix 1**; and,
- Provide the requested updates to the Internal Audit Work-plan as set out in **Appendix 2**. The plan now includes the last audit date for each proposed audit.

**Background:**

The Director of Internal Audit submits, at least annually, to the Audit Committee, a risk-based Internal Audit Work Plan for review and approval. The Work Plan sets out the priorities for Internal Audit and lists all engagements to be performed in the current year.

As set out in the Internal Audit Charter, the Director of Internal Audit is required to report periodically to the Audit Committee regarding the Internal Audit Work Plan and progress against the plan. The Director is also responsible to communicate the impact of resource limitations on the Work Plan, adjustments, and significant changes to the Work Plan.

The current 2022 Internal Audit Work Plan was presented at the February 8, 2022 meeting of the Audit Committee.

## **Current Situation:**

### Internal Audit Work Plan Report

The progress to plan update and audit schedule is set out in **Appendix 1**.

### Risk Summary

<b>Risk Area</b>	<b>Comments</b>
Work Plan	The work plan is currently on track. No adjustments have been identified at this time.
Resources	There is one vacant Internal Audit position. Audit work is being outsourced to third parties to compensate and allow for work plan completion.
Resources	Internal Audit adapted the 2022 work plan to manage resource availability risk associated with the municipal election.

### Last Audit Date

At the February 8, 2022 meeting, the Audit Committee requested that the last audit date be included for each audit in the 2022 Internal Audit Work Plan. The updated 2022 Internal Audit Work-plan is set out in **Appendix 2**.

## **Corporate Implications:**

### Financial Implications:

N/A

### Other Implications:

N/A

## **Term of Council Priorities:**

This report achieves the Term of Council Priority of 'Brampton is a well-run city' through on going monitoring and reporting of the Internal Audit Work Plan, which promotes corporate accountability, values, and governance best practices.

## **Conclusion:**

Internal Audit will continue to monitor and report on the status of the Internal Audit Work Plan at Audit Committee meetings, with the intention of ensuring that risks to the Internal Audit Work Plan are identified, managed, and reported.

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**Attachments:**

Appendix 1: Internal Audit 2022 Work Plan Status

Appendix 2: Updated 2022 Internal Audit Work Plan