

Date: 2020-11-03

Subject: Deferral of Data Architecture and Management Audit

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Report Number: CAO's Office-2020-355

Recommendations:

1. That the report titled: **Deferral of Data Architecture and Management Audit**, to the Audit Committee Meeting of November 24, 2020, be received.

Overview:

- A Data Architecture and Management Audit was part of the 2020 Internal Audit workplan;
- Preliminary audit work commenced in August, and Internal Audit became aware that the Digital Innovation & IT (DIIT) team was not yet ready for this audit; and
- A decision was made to defer the Data Architecture and Management audit to a future date in order to ensure the audit provides value to management.

Background:

As a part of the approved 2020 Internal Audit work plan, Internal Audit (IA) was scheduled to perform a Data Architecture and Management audit in Q4 2020. The objective of the engagement was to review DIIT's progress on implementing the 'Data and Analytics Strategy Report' created for the division in 2019 by an external consultant. Due to resource constraints and COVID-19 related priorities, the recommendations from the report have not yet been implemented, and conducting an audit at this time would not provide value to DIIT management.

Current Situation:

IA held a preliminary audit-planning meeting with DIIT on August 9, 2020 and discovered that the work had not progressed beyond the delivery of the 2019 strategy report, and no project leader was appointed to lead this initiative. As such, IA made the decision to defer the Data Architecture and Management audit, in order to ensure value can be provided to DIIT management.

Please refer to appendix 1 for the memo issued to the Chief Information Officer (CIO), advising of the audit's deferral.

IA concluded that the consultant's report provided management with the information needed to create action plans, and DIIT management is in the process of updating the strategy dates. IA will monitor the implementation of these recommendations and the development of the strategy in order to determine an appropriate time to conduct this audit.

Corporate Implications:Financial Implications:

None

Other Implications:

None

Term of Council Priorities:

This report achieves the Term of Council Priority of 'Brampton is a well-run city' through the establishment of an internal audit function, which promotes corporate accountability, values, and governance best practices.

Conclusion:

Internal Audit is committed to being a collaborative partner and ensuring audits conducted provide value to management. Due to delays in the implementation of the data strategy, the Data Architecture and Management audit has been deferred to a future date.

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Attachments:

Appendix 1: Deferral of Data Architecture and Management Audit-Memo