

# Bovaird Barn Audit

## Appendix 4: City Policies

### Corporate Fraud Prevention Policy

Source: [Corporate Fraud Prevention Policy](#)

Retrieved: October 26, 2023

The City's Corporate Fraud Prevention Policy was carried at the June 12, 2023, session of the Audit Committee under resolution AU022-2023.

3.2 This Policy does not apply to the Mayor and Councillors, whom the Council Code of Conduct governs. Any allegations implicating the Mayor and Councillors may be directed to the Office of the Integrity Commissioner.

5.5 b) The Director of Internal Audit may delegate the investigation to City management, Internal Audit staff, or a third-party investigator. Allegations with implications that are in the purview of an Accountability Office may be forwarded to the appropriate office. See "Special Cases" for exceptions.

#### 5.6 Special Cases

##### a. Allegations Implicating Identifiable Senior Positions

Where the Chief Administrative Officer (CAO), Department Heads, City Clerk, City Solicitor, Director of Internal Audit, Director of Human Resources, or City Treasurer are implicated in allegations of Fraud, a third party will be retained to investigate the allegations and transmit their findings to Brampton City Council.

##### b. Allegations on Matters That Fall Under the Accountability Offices

From time to time, allegations on matters intended for the Accountability Offices as defined in the Municipal Act, 2001 may come through the City's Ethics Hotline. In such cases, Internal Audit will advise the complainant(s), forward the allegation to the appropriate City of Brampton's Accountability Office, and close the case. Cases may also be forwarded to the City of Brampton's Accountability Offices if an aspect of an allegation falls within their purview. The City has established three Accountability Offices: The

Integrity Commissioner, Lobbyist Registrar, and Closed Meeting Investigator. In addition, the Ontario Ombudsman currently functions as Brampton's Municipal Ombudsman.

## **Integrity Commissioner**

Source: [City of Brampton | Accountability & Transparency](#)

Retrieved: October 26, 2023

In 2011, Brampton City Council approved the establishment of the Office of the Integrity Commissioner. The Integrity Commissioner performs functions with respect to the application of the Council Code of Conduct, along with any City legislation, procedures, rules and policies governing the ethical behaviour of the Mayor and Councillors. The City's current Integrity Commissioner is Muneeza Sheikh.